

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA

CASE NO.: 09-21977-CIV-MCALILEY

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

ALBERTO PEREZ and SEBASTIAN DE LA MAZA,

Defendants.

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VERDICT FORM

We, the jury, answer the following questions:

1. Do you, the jury, unanimously find that the Plaintiff, the United States Securities and Exchange Commission, has proven, by a preponderance of the evidence, each and every element of its Rule 10b-5 claim against Dr. Sebastian De La Maza?

Yes \_\_\_\_\_

No  \_\_\_\_\_

2. Do you, the jury, unanimously find that the Plaintiff, the United States Securities and Exchange Commission, has proven, by a preponderance of the evidence, each and every element of its Rule 10b-5 claim against Alberto Perez?

Yes  \_\_\_\_\_

No \_\_\_\_\_

June 13, 2011

Foreperson

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